

#3 5, HOOVER 9/05/01

Docket No. <u>UV/1 Cont.</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lemmons et al.

RECEIVED

SEP U 4 2001

Application No.: 09/770,865 Confirmation No.: 7787

Technology Center 2600

Filed: January 26, 2001

For : INTERACTIVE PROGRAM GUIDE SYSTEMS

AND PROCESSES

Anricipated

Group Art Unit: 2611

Anticipated

Examiner : Chris Grant

New York, New York August 28, 2001

Hon. Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure

Statement and an accompanying PTO-1449 form (submitted in duplicate) for the above-identified application. This

Statement is submitted:

- [] within three months of the application filing date;
- [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this

Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

Alexander Shvarts Registration No. 47,943 Agent for Applicants

FISH & NEAVE Customer No. 1473 1251 Avenue of the Americas New York, New York 10020-1104 Tel.: (212) 596-9000

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UV/1 Cont. 2

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97, applicants wish to call the attention of the Examiner to the following documents:

U.S. Patents

Templin et al.	4,375,651	03/01/83
Lambert	4,381,522	04/26/83
Cichelli et al.	4,429,385	01/31/84
Krüger et al.	4,488,179	12/11/84
Beyers, Jr.	4,641,205	02/03/87
Young	4,706,121	11/10/87
Köhler	4,712,105	12/08/87
Reiter et al.	4,751,578	06/14/88
Kram et al.	4,754,326	06/28/88
Rumbolt et al.	4,841,368	06/20/89
Levine	4,908,713	03/13/90
Levine	4,963,994	10/16/90
Young	4,977,455	12/11/90
Baji et al.	5,027,400	06/25/91
Strubbe et al.	5,047,867	09/10/91

5,210,611	05/11/93
5,253,066	10/12/93
5,353,121	10/04/94
5,404,393	04/04/95
5,410,344	04/25/95
5,412,720	05/02/95
5,416,508	05/16/95
5,465,385	11/07/95
5,479,268	° 12/26/95
5,528,304	06/18/96
5,548,345	08/20/96
5,781,246	07/14/98
	5,253,066 5,353,121 5,404,393 5,410,344 5,412,720 5,416,508 5,465,385 5,465,385 5,479,268 5,528,304 5,548,345

Foreign Patent Documents

Germany	23 38 380	02/11/75
Japan	59-141878	08/14/84
Japan	60-61935	04/09/85
Germany	33 37 204	04/25/85
PCT	WO 90/07844	07/12/90
GB	2 232 031	11/28/90
GB	2 264 409	08/25/93
PCT	WO 94/13107	06/09/94
PCT	WO 95/01056	01/05/95
PCT	WO 95/32583	11/30/95
PCT	WO 95/32585	11/30/95
PCT	WO 96/17473	06/06/96

Other Documents

Arthur Heller, "VPS a New System for Domestic VCR Start/Stop By Programme Labels Transmitted Within the Insertion Data Line," Symposium Record, Broadcast Sessions, 14th International TV Symposium, Montreux, Switzerland, June 6-12, 1985, pp. 345-51.

Arthur Heller, "VPS — Ein Neues System Zur Beitragsgesteuerten Programmaufzeichnung," Rundfunktechnische Mitteilungen, July-Aug. 1985, pp. 161-69.

Vito Brugliera, "Digital On-Screen Display: A New Technology for the Consumer Interface," Symposium Record, Cable Sessions, 18th International Television Symposium and Technical Exhibition, Montreux, Switzerland, June 10-15, 1993, pp. 571-86.

These references which are listed on the accompanying form PTO-1449 (submitted in duplicate), were either cited by

or submitted to the Patent Office in application Serial No. 08/419,077, filed April 6, 1995, now U.S. Patent No. 5,880,768, or in application Serial No. 09/189,332, filed November 9, 1998, now U.S. Patent No. 6,266,814, from which the present application claims priority under 35 U.S.C. § 120. Accordingly, pursuant to 37 C.F.R. § 1.98(d), no copies of these references accompany this Information Disclosure Statement.

Consideration of the foregoing in relation to this patent application is respectfully requested.

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Signature of Person Signing

Customer No. 1473 1251 Avenue of the Americas New York, New York 10020-1104

Tel.: (212) 596-9000

Alexander Shvarts

FISH & NEAVE

Registration No. 47,943

Agent for Applicants

#3 S, HOOVER 9/05/4

Sheet _ 1 _ of _ 3

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

ATTY. DOCKET NO. UV-1 Cont. 2	APPLN. NO. 09/770,865
APPLICANTS Lemmons et al.	CONF. NO. 7787
FILING DATE January 26, 2001	GROUP 2611

U.S. PATENT DOCUMENTS

W INAUS	U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	4,375,651	03/01/83	Templin et al.	358	191.1		
	4,381,522	04/26/83	Lambert	358	86	RECEIVED	
	4,429,385	01/31/84	Cichelli et al.	370	92		
	4,488,179	12/11/84	Krüger et al.	358	181	SEP 0 4 2001	
	4,641,205	02/03/87	Beyers, Jr.	360	33.1	Technology Center 26	
	4,706,121	11/10/87	Young	358	142	lectiliology contact	
	4,712,105	12/08/87	Köhler	340	825.69		
	4,751,578	06/14/88	Reiter et al.	358	183		
	4,754,326	06/28/88	Kram et al.	364	900		
	4,841,368	06/20/89	Rumbolt et al.	358	194.1		
	4,908,713	03/13/90	Levine	358	335		
	4,963,994	10/16/90	Levine	358	335		
e:1	4,977,455	12/11/90	Young	358	142		
น :	5,027,400	06/25/91	Baji et al.	380	20		
£	5,047,867	09/10/91	Strubbe et al.	358	335		
r. B	5,210,611	05/11/93	Yee et al.	358	191.1		
. , ,	5,253,066	10/12/93	Vogel	358	188		
	5,353,121	10/04/94	Young et al.	348	593		
	5,404,393	04/04/95	Remillard	379	96		
41	5,410,344	04/25/95	Graves et al.	348	1	09/22/93	
	5,412,720	05/02/95	Hoarty	380	15	05/01/92	
	5,416,508	05/16/95	Sakuma et al.	348	7	07/21/94	
	5,465,385	11/07/95	Ohga et al.	455	6.1	02/23/94	
	5,479,268	12/26/95	Young et al.	358	335	02/18/94	
	5,528,304	06/18/96	Cherrick et al.	348	565	07/22/94	
	5,548,345	08/20/96	Brian et al.	348	734	01/05/95	
	5,781,246	07/14/98	Alten et al.	348	569	05/20/94	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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FOREIGN PATENT DOCUMENTS

		FOR	REIGN PATENT DOCK	JIVIEIV I S			
EXAMINER	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSL	ATION_
INITIAL	NUMBER	DATE	COONTRI	CLAGO	OODOLAGO	YES	NO
	23 38 380	02/11/75	Germany			X	
	59-141878	08/14/84	Japan			Abstract	
	60-61935	04/09/85	Japan			X	
	33 37 204	04/25/85	Germany			REC	IVED
	WO 90/07844	07/12/90	PCT			ļ _	
	2 232 031	11/28/90	GB			SEP	4 2001
	2 264 409	08/25/93	GB			Technology	Center 2600
	WO 94/13107	06/09/94	PCT				
	WO 95/01056	01/05/95	PCT				
	WO 95/32583	11/30/95	PCT				
	WO 95/32585	11/30/95	PCT				<u></u>
	WO 96/17473	06/06/96	PCT				<u></u>
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	Arthur Heller, "VPS a New System for Domestic VCR Start/Stop By Programme Labels Transmitted Within the Insertion Data Line," Symposium Record, Broadcast Sessions, 14th International TV Symposium, Montreux, Switzerland, June 6-12, 1985, pp. 345-51.
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